

Practitioner's Docket No. 046700-5003

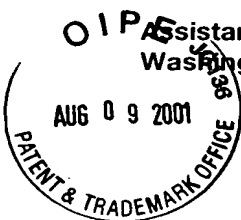
**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Sam E. KINNEY, Jr. et al.

Application No.: 09 /282,156 Group No.: 2164

Filed: March 31, 1999

Examiner: W. Malinowski

For: METHOD AND SYSTEM FOR CONDUCTING ELECTRONIC AUCTIONS WITH  
NET PRESENT VALUE BIDDINGAssistant Commissioner for Patents  
Washington, D.C. 20231**RECEIVED**  
AUG 14 2001  
Technology Center 2100**AMENDMENT TRANSMITTAL**

1. Transmitted herewith is an amendment for this application.

**STATUS**

2. Applicant is
- ☐ a small entity. A statement:
    - ☐ is attached.
    - ☐ was already filed.
  - ☒ other than a small entity.

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

☐ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

**FACSIMILE**

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: \_\_\_\_\_

Signature \_\_\_\_\_

(type or print name of person certifying)

(Amendment Transmittal [9-19]—page 1 of 4)

### EXTENSION OF TERM

NOTE: "Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

NOTE: See 37 C.F.R. § 1.645 for extensions of time in interference proceedings, and 37 C.F.R. § 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

(complete (a) or (b), as applicable)

- (a) ☐ Applicant petitions for an extension of time under 37 C.F.R. § 1.136  
(fees: 37 C.F.R. § 1.17(a)(1)-(4) for the total number of months checked below:

Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 390.00	\$ 195.00
<input type="checkbox"/> three months	\$ 890.00	\$ 445.00
<input type="checkbox"/> four months	\$ 1,390.00	\$ 695.00

Fee: \$\_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ months has already been secured. The fee paid therefor of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$\_\_\_\_\_

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this is a conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

(Amendment Transmittal [9-19]—page 2 of 4)

**FEE FOR CLAIMS**

4. The fee for claims (37 C.F.R. § 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)		SMALL ENTITY		OTHER THAN A SMALL ENTITY	
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO PREVIOUSLY PAID FOR		PRESENT EXTRA		ADDIT. FEE		OR RATE ADDIT. FEE	
TOTAL		MINUS		=		x\$9= \$		x\$18= \$ 0	
32		32		0		\$		\$ 0	
INDEP.		MINUS		=		x\$40= \$		x\$80= \$ 0	
5		50		0		\$		\$ 0	
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						+ \$135= \$		+ \$270= \$ 0	
						TOTAL ADDIT. FEE \$		OR TOTAL ADDIT. FEE \$ 0	

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**WARNING:** "After final rejection or action (§ 1.113) amendments may be made cancelling claims or complying with any requirement of form which has been made." 37 C.F.R. § 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

- (c) ☒ No additional fee for claims is required.

OR

- (d) ☐ Total additional fee for claims required \$ \_\_\_\_\_.

**FEE PAYMENT**

- ☐ Attached is a ☐ check ☐ money order in the amount of \$ \_\_\_\_\_
- ☐ Authorization is hereby made to charge the amount of \$ \_\_\_\_\_
- ☐ to Deposit Account No. \_\_\_\_\_
- ☐ to Credit card as shown on the attached credit card information authorization form PTO-2038.

**WARNING:** Credit card information should **not** be included on this form as it may become public.

- ☐ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

## FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).

6. ☒ If any additional extension and/or fee is required, charge Account  
No. 50-0310

### AND/OR

- ☒ If any additional fee for claims is required, charge Account  
No. 50-0310



Reg. No.: 43,690

Tel. No.: ( 412 ) 560-7062

Customer No.: 009629

A handwritten signature in dark ink, appearing to read "Richard W. James".

SIGNATURE OF PRACTITIONER

Richard W. James

(type or print name of practitioner)

MORGAN, LEWIS & BOCKIUS LLP  
1701 Market Street

P.O. Address

Philadelphia, PA 19103-2921

(Amendment Transmittal [9-19]—page 4 of 4)

08-10-01

Docket No. 046700-5003

2164



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sam E. KINNEY, Jr. et al.  
Serial No. 09/282,156  
Filed: March 31, 1999  
For: METHOD AND SYSTEM FOR CONDUCTING ELECTRONIC  
AUCTIONS WITH NET PRESENT VALUE BIDDING

BOX OA  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
AUG 14 2001  
Technology Center 2100

EXPRESS MAIL CERTIFICATE

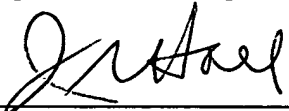
"Express Mail" label number: ET403814994US  
Date of Deposit: August 9, 2001

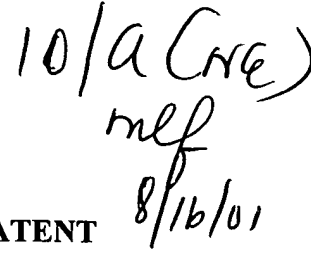
I hereby state the following papers are attached hereto:

Amendment Transmittal (4 pages), Amendment 6 pages), Supplemental Information  
Disclosure Statement Pursuant to 37 C.F.R. §§ 1.56 et Seq. (2 pages), PTO Form 1449  
(1 page), and References (3)

which are being deposited with the United States Postal Service "Express Mail Post Office to  
Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C. 20231.

Judith R. Hall  
Typed or printed name of person mailing paper

  
Signature of person mailing paper



ATTORNEY DOCKET NO. 046700-5003

In re Application of:

Sam KINNEY, Jr. et al.

Application No.: 09/282,156

Filed: March 31, 1999

**For: METHOD AND SYSTEM FOR  
CONDUCTING ELECTRONIC  
AUCTIONS WITH NET PRESENT  
VALUE BIDDING**

Group Art Unit: 2164

Examiner: W. Malinowski

Commissioner for Patents  
Washington, D.C. 20231

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AUG 14 2001

Technology Center 2100

## AMENDMENT

Sir:

In response to the Office Action mailed on May 9, 2001 (Paper No. 9), issued in connection with the above-identified application, Applicants respectfully submit the following:

**In the claims:**

Please amend the claims as follows:

11. The [method] system of claim 7 wherein said transmitted net present value bid information is a net present value bid value.